



Account Authorization Application

LYNX

5 steps to establish an account authorization

You may appoint an agent for your account, such that the designated person is granted access to your account and can trade on your behalf. Please follow the displayed steps below to correctly complete your account authorization.

1. Complete the account authorization application

- ▶ Complete and sign the „**account authorization application**“



2. Add the agent's documents

- ▶ **Copy of the agent's identification card / passport** (front and back)
- ▶ For non-EU citizens a permit of residence is required
- ▶ Copy of the **agent's proof of address**. Either an internet respectively landline phone bill OR a bank statement OR a certificate of registration may be accepted as a valid proof of address. Please note, that the document may not be older than 6 months



3. Submission of documents and identification via PostIdent

- ▶ Send/Mail the required documents to LYNX

Postal address

LYNX B.V. Germany Branch
PO Box 08 03 21, 10003 Berlin



- ▶ **Identity verification of the agent** (in case the agent is not a LYNX client): Information regarding the identity verification procedure via videochat or in a branch of Deutsche Post can be found on page 10.



4. Assigning access rights

► For the account holder (principal)

Assign access rights to the agent, once we notified you via email.

► For the agent

Once the account owner has assigned the access rights via his account management, you will be notified by email. You will be prompted to log into account management using your login details (these will be supplied by the account holder) to complete your personal data.



5. Confirmation of the account authorization

- In addition to entering the required data by the principal and agent, it is mandatory, that the account holder confirms the submission of the required data via www.lynxbroker.de/vollmacht.



The agent will be granted trading access within 48 hours.



General information

- LYNX respects and protects the privacy of its clients. LYNX treats clients' personal data strictly confidential and is subject to the Bundesdatenschutzgesetz (German Federal Data Protection Act).
- The agent's required minimum age is 18 for cash and 21 for margin accounts.

Further questions?

Phone

+ 49 (0)30 303 28 66 90

Email

service@lynxbroker.de

Postal address

LYNX B.V. Germany Branch
PO Box 08 03 21, 10003 Berlin

LYNX - Account Authorization Application

Part 1: Personal Information

Personal information of the account holder

1. Account holder (Surname, Given name):

2. Account holder (Surname, Given name):

Account number:

- I/We authorize the following agent, to represent me/us in my/our business operations with LYNX/IB.
The authorization applies to our account stated above.

Personal information of the agent

You are already a LYNX client? Please enter your account number below.

Account number:

Mr. Mrs./Ms. Titel:

Street address:

Surname, Given name:

ZIP Code, Residence, Country:

Birth name:

Citizenship:

Date of Birth:

Phone number:

Relationship with account holder:

Email:

Information regarding the agent's profession

Please complete the information regarding the agent's profession. The information is required to foreclose insider trading. We are obligated to do so by article 14 of the Act (EU) No. 596/2014 of the 16th of April 2014 (Market Abuse Regulation). The account authorization application cannot be completed without the necessary information regarding the agent's profession.

Current profession (concrete job title):

Employers postal address:

Industry:

Employer:

Security questions (Please fill in completely)

The information is used for identification purposes when e.g. a trade is submitted via phone. The answers to the security questions don't have to be true, but you should be able to respond correctly when asked.

1. What is your grandmother's first name?

3. What is the name of the school you attended?

2. What was your father's occupation?

4. What is your mother's maiden name?

Part 2: The agents trading knowledge and experience

All statements are required!	Knowledge	Experience in years and at least 5 trades conducted			(Product-) Knowledge level		
	Existent	Less than 1 year	Up to 3 years	More than 3 years	Low	Good	Extensive
Stocks/ETFs	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Options/Warrants	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Structured Products	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Futures	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Forex	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Bonds	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Metals	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Financial services utilized thus far (Agent)

Advice free order execution

Investment advice

Asset management

None

Part 3: Additional notes regarding LYNX/IB account authorization

Recording of phone calls

Phone calls with LYNX and IB are being recorded. Your consent with the recording of phone calls is compulsory to establish an account authorization. With my signature, I expressly declare my consent with the recording of conversations via phone.

Consent to the use of electronic media for the distribution of information

I declare my assent, that all the required information and the bank mail (account and transaction statements as well as further notifications) will be transmitted on other permanent media than paper, provided this proceeding is in line with the WpHG (Securities Transaction Act). The information and documents will be accessible in textual form in particular via the online archive (which is available at www.lynxbroker.de), via email, CD-ROM, DVD or fax. I commit to retrieving and reviewing the documents available through the online archive as well as all messages accessible via the message center of my account management regularly and in a timely manner. Any inconsistencies will be reported immediately, but not later than 6 weeks after release/provision.

Knowledge and experience

The account holder is aware of the fact, that the agent is capable of mandating transactions within the limitations of his authorization on behalf of the account holder. The account holder assures LYNX, that he reviewed the agent's knowledge and experience. Provided the agent is authorized to conduct financial futures transactions and is approved to trade on futures exchanges, the agent is entitled to mandate all forward dealings, particularly transactions on futures exchanges, option transactions, foreign exchange transactions and to exercise rights arising from these transactions.

Revocation of the account authorization

The present account authorization was obtained by announcement against LYNX and IB. For a complete and effective revocation of the authorization, the revocation must be submitted to IB as well as to LYNX. If the revocation is submitted exclusively to one of the business partners, the account authorization remains valid against the other business partner.

Replacement of the account authorization

When the present account authorization becomes effective, any existing account authorization of the here listed agent becomes ineffective. Hence, an explicit revocation of already existing account authorizations is not required.

Sub-authorizations

The agent is not entitled to grant sub-authorizations.

Declaration of data storage and transfer

LYNX is obligated by law to store the agent's personal information. Therefore, these data will be stored by LYNX.

Place, date

1st Account holder
(Principal)

2nd Account holder
(Principal)

Place, date

Agent

Part 4: Limited account authorization – Terms and conditions

General:

Customer (or Customers in the case of joint accounts) (hereinafter "Customer"), is the registered owner of the account(s) listed above and authorizes the person identified herewith to act as authorized Agent. The terms and conditions herein are in addition to the provisions of all other agreements between Interactive Brokers and Customer or Agent. The agreements applicable to Customer's account(s) will govern all orders and transactions, and Agent is bound by all terms of those agreements.

Agent's Authority:

This agreement authorizes Interactive Brokers to accept certain instructions of the Agent on behalf of the Customer. This authorization will be applicable to all assets Customer holds in all Interactive Brokers accounts for which Agent has been designated. Customer hereby authorizes Agent to inquire in, trade, buy, sell (including short sales), exchange, convert, tender, trade, or otherwise acquire or dispose of stocks, bonds, options, securities, futures, security futures, foreign exchange and any other investments, on margin or otherwise, for and at the risk of the Customer. Customer understands that a commission will be charged to the account each time a trade is effected. The Agent is also authorized to inquire about and receive information regarding the account(s) or activity or assets in the account(s). This Limited Power of Attorney does not authorize Agent to deposit funds and assets to the Customer's account(s); or to redeem or withdraw funds or assets from the Customer's account; or to initiate transfers (including inter-broker transfers), rollovers, Roth IRA conversions, IRA recharacterizations or other transfers of assets between and among Customer accounts. This Limited Power of Attorney does not authorize Agent to change Customer's username or password or to add, delete or change Customer information. Interactive Brokers is authorized, but not required to, conduct further inquiry or seek authorization from the Customer or further clarification from Agent or Customer regarding any instruction from Agent for Customer's account(s). Interactive Brokers reserves the right, in its sole discretion, to refuse to honor particular instructions from Agent, or to no longer honor instructions or requests for information from Agent.

Customer and Agent acknowledge that all information provided by either of them on this form, or otherwise provided to Interactive Brokers in the application process, is subject to verification, and Customer and Agent authorize Interactive Brokers to verify all information through the use of credit agencies or any other customary or reasonable means.

Role of Interactive Brokers – Disclaimer:

INTERACTIVE BROKERS WILL MERELY EFFECT INSTRUCTIONS OF AGENT. INTERACTIVE BROKERS WILL NOT PROVIDE LEGAL OR TRADING OR TAX ADVICE. CUSTOMER AND AGENT ARE SOLELY RESPONSIBLE FOR DETERMINING THE SUITABILITY FOR CUSTOMER OF ANY INVESTMENT STRATEGY OR TRANSACTION. INTERACTIVE BROKERS IS NOT RESPONSIBLE FOR INVESTIGATING OR SELECTING AGENT. INTERACTIVE BROKERS ASSUMES NO RESPONSIBILITY WHATSOEVER FOR REVIEWING OR MONITORING ANY INVESTMENT DECISION OR ACTIVITY OF THE AGENT. INTERACTIVE BROKERS ASSUMES NO RESPONSIBILITY FOR DETERMINING IF ADVISOR IS COMPLYING WITH STATE OR FEDERAL LAWS REGARDING ITS ACTIVITIES.

Agent shall not make any statements implying that Interactive Brokers has reviewed or approved of Agent, its services, or any recommendations or advice or actions of Agent. Neither the Agent nor any officers, directors or employees, if any, are employees or agents or associated persons of Interactive Brokers, nor shall they hold themselves out as such. Agent has no authority, and shall not make any representations or give any warranties on Interactive Brokers' behalf.

Agent's Compliance with State and

Federal Law:

Agent will comply with all applicable state and federal securities laws and will comply with any applicable laws of foreign jurisdictions. Agent will provide promptly any information reasonably requested by Interactive Brokers concerning the Agent's actions or compliance with this Agreement. This provision shall not be read to imply that Interactive Brokers has any duty to supervise or monitor Agent's actions or compliance with applicable law.

Termination:

Customer and/or Agent agree(s) to notify Interactive Brokers immediately, in writing, if Customer or Agent terminates, modifies or revokes this Limited Power of Attorney. Agent agrees to notify Interactive Brokers immediately, in writing, if Customer dies or becomes incapacitated such that this Limited Power of Attorney would be rendered void. This Limited Power of Attorney shall remain in effect until Interactive Brokers receives written notice of its termination and has had sufficient time to process such notice and terminate Agent's authority.

Indemnification of Interactive Brokers

by Customer:

Customer agrees to indemnify and hold Interactive Brokers, and its affiliates, and its and their successors and assigns, and its and their directors, officers, employees and agents harmless from and against all claims, actions, costs and liabilities, including attorney's fees, arising out of or relating to their reliance on this Limited Power of Attorney or their execution of any of Agent's instructions. Customer agrees that since Interactive

Brokers will not supervise or monitor Agent's trading decisions or other activities, Customer will not attempt to hold Interactive Brokers liable for any trade or decision or action of Agent. Interactive Brokers' rights under this paragraph are in addition to any other rights it has under other agreements with Customer and/or Agent.

Indemnification of Interactive Brokers

by Agent:

Agent agrees to indemnify and hold Interactive Brokers, and its affiliates, and its and their successors and assigns, and its and their directors, officers, employees and agents harmless from and against all claims, actions, costs and liabilities, including attorney's fees, arising out of or relating to any breach by Agent of any provision of this Agreement or other Agreements with Interactive Brokers and/or Customer; the performance or non-performance of the Agent's services; any trade or action of Agent in Customer's account(s); and any dispute involving Agent and Customer. Interactive Brokers' rights under this paragraph are in addition to any other rights it has under other agreements with Customer and/or Agent.

Place, date

1st Account holder
(Principal)

X

2nd Account holder
(Principal)

X

Place, date

Agent

X

Identity verification

No renewed proof of identity is required for LYNX clients!

Verification of identity in order to open an account respectively to set up an account authorization with LYNX:

Identity verification via Video Chat - the quick and comfortable way:

► www.lynxbroker.de/postident

POSTIDENT

Ein Service der Deutschen Post



Alternative:

► Identity verification at a post office of your choice

 Cut here!

Achtung MaV!

Formular und diesen Coupon im Postsache-Fensterbriefumschlag oder im Kundenrückumschlag an angegebene Anschrift schicken!

Deutsche Post 

Important note!

Please utilize this coupon and your valid ID or passport, in order to be legitimized at a post office.

LYNX B.V. Germany Branch
Postfach 080321

10003 Berlin

Abrechnungsnummer

6 | 1 | 9 | 4 | 9 | 3 | 7 | 4 | 4 | 4 | 3 | 7 | 0 | 1

Referenznummer

0 | | | | | | | | | | | | | |

PostIdent[®]



Achtung MaV!

- Barcode einscannen
- PostIdent 3-Formular nutzen
- Formular an Absender

MaV: Bei Fragen wenden Sie sich bitte an die Mitarbeiter-Hotline